

Minnesota

Campaign Finance and Public Disclosure Board Meeting

Wednesday, December 2, 2020
10:00 A.M.
Conducted remotely via Webex due to COVID-19 pandemic

REGULAR SESSION AGENDA

1. Approval of November 6, 2020 minutes
2. Chair's report
 - a. 2021 meeting schedule
3. Executive director report
4. Lobbyist Legislative Recommendations
5. Enforcement report
6. Legal report
7. Other business

EXECUTIVE SESSION

Immediately following regular session

**STATE OF MINNESOTA
CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD**

.....
November 6, 2020

Meeting conducted remotely though Webex due to COVID-19 pandemic

.....
MINUTES

The meeting was called to order by Chair Haugen.

Members present: Flynn, Haugen, Leppik, Rashid, Rosen, Swanson

Others present: Sigurdson, Engelhardt, Olson, Pope, staff; Hartshorn, counsel

MINUTES (October 7, 2020)

After discussion, the following motion was made:

Member Flynn's motion:

To approve the October 7, 2020, minutes as drafted.

Vote on motion:

A roll call vote was taken. All members voted in the affirmative.

CHAIR'S REPORT

A. 2020 meeting schedule

The next Board meeting is scheduled for 10:00 a.m. on Wednesday, December 2, 2020.

EXECUTIVE DIRECTOR REPORT

Mr. Sigurdson presented members with a memorandum regarding this matter that is attached to and made a part of these minutes. Mr. Sigurdson told members that pre-general election campaign finance reports were due on October 26, 2020, and that only nine reports remained outstanding. Mr. Sigurdson said that approval had been granted to fill the programs administrator position and that interviews for that position would be held over the next week. Mr. Sigurdson also stated that due to COVID-19, the office continued to be closed to the public. Mr. Sigurdson said that because no reports were due until January, he planned to schedule only one staff member per day in the office.

A. Yearly update on reconciliation of contributions between registered entities

Mr. Sigurdson told members that in 2013, the Star Tribune had found that it could not reconcile approximately \$20 million in contributions made between entities registered with the Board. Mr. Sigurdson said that staff then worked to reconcile those contributions. In 2015, the Board directed staff to end the efforts to reconcile past contributions and to report annually to the Board regarding the reconciliation for the previous year. Mr. Sigurdson said that for the 2019 reports, 99.93% of the

contributions reported had been reconciled. Mr. Sigurdson stated that compliance officer, Melissa Stevens, had led the reconciliation efforts remotely and that he was very satisfied with the outcome.

B. Draft language for lobbyist legislative recommendations

Mr. Sigurdson told members that the Minnesota Governmental Relations Council (MGRC) task force had raised two issues with the legislative recommendations for the lobbyist program. Mr. Sigurdson said that the first issue was that the members of the task force believed that they would need to set up new time management systems to determine which matters constituted 25% of their efforts. Mr. Sigurdson said that the MGRC also had explained that the time spent on an issue alone did not always convey the importance of that issue to a principal because a minor matter could consume more time than another more important effort. The second area of concern was having to report bill numbers. Mr. Sigurdson said that proposals often are included in many bills to increase their odds of passage. In addition, individual proposals often are folded into omnibus bills, which include many topics. Mr. Sigurdson also pointed out that tracking lobbying efforts by bill number requires expertise that the average person may not have.

Mr. Sigurdson said that new language had been drafted to address these concerns. Mr. Sigurdson told members that the new proposal tracked effort rather than time and subject matter rather than bill number. Mr. Sigurdson said that two additional changes had been made to the proposal. The first was that a lobbyist would report only a general subject of lobbying interest on the registration form and then would provide specific subjects of lobbying on periodic lobbyist reports. The second change was to require lobbyists to report all agencies and metropolitan governmental units that were lobbied during the reporting period.

Kathy Hahne from the MGRC then addressed the Board. Ms. Hahne expressed appreciation for the opportunity to work with the Board on the proposal. Ms. Hahne stated that the task force had not had time to circulate the proposal to all MGRC members or to prepare a formal response. Ms. Hahne said that her preliminary reaction was that the proposal was moving in the right direction.

Members then discussed the proposal. One topic of discussion was whether some members of the public might benefit if bill and docket numbers were disclosed in addition to subject areas. Members directed Mr. Sigurdson to continue working on the proposal. Mr. Sigurdson said that he would incorporate the feedback from members and bring amended language for consideration at the December meeting.

ENFORCEMENT REPORT

A. Discussion item

1. Balance Adjustment Request – Citizens to Elect Paul Yang (18354)

Mr. Olson told members that requests for cash balance adjustments of over \$200 must be approved by the Board. Mr. Olson said that this principal campaign committee had a 2018 ending cash balance of \$5,743.90, but that the balance in the committee's bank account at the end of 2018 actually was \$6,241.39. The committee registered with the Board in June 2018 and reported over \$54,000 in receipts and almost \$49,000 in disbursements in 2018. Mr. Olson stated that the committee's treasurer had reviewed the committee's bank statements and other financial records but had been unable to

reconcile the committee's 2018 year-end report. The committee was asking that its 2018 ending cash balance be adjusted upward by \$497.49, from \$5,743.90 to \$6,241.39. Mr. Olson said that the treasurer had provided documentation showing that \$6,241.39 was the balance in the committee's bank account at the end of 2018 and was the amount listed as the beginning cash balance on the committee's 2019 year-end report.

After discussion, the following motion was made:

Member Rashid's motion: To approve the requested balance adjustment.

Vote on motion: A roll call vote was taken. All members voted in the affirmative.

B. Waiver requests

Name of Candidate or Committee	Late Fee & Civil Penalty Amount	Reason for Fine	Factors for waiver and recommended action	Board Member's Motion	Motion	Vote on Motion
John (Thompson) for 67A (18495)	\$100 LFF	2020 Pre-general	Treasurer mistakenly filed amended pre-primary report via CFR software on due date rather than pre-general report. After realizing error, correct report was filed 2 days late. Committee reported cash balance of \$12,257 as of 10/19/20. RECOMMENDED ACTION: Waive	Member Flynn	To approve the staff recommendation.	A roll call vote was taken. All members voted in the affirmative.
62nd Senate District DFL (20483)	\$100 LFF	2020 Pre-general	Former treasurer did not provide new treasurer with party unit's CFR data when new treasurer took over in mid-2020. New treasurer didn't realize he lacked data needed to file report until days before deadline and had difficulty contacting Board staff. Report was due 10/26/2020 and paper report was filed 2 days late. Party unit reported cash balance of \$791 as of 10/19/2020. RECOMMENDED ACTION: Reduce LFF to \$50	Member Flynn	To approve the staff recommendation.	A roll call vote was taken. All members voted in the affirmative.

Alarm PAC (30306)	\$100 LFF	2020 Pre-general	Supporting association's internet was down for several days. Service was restored on due date, but person responsible for report forgot to file no-change statement, which was filed 2 days late. Fund reported cash balance of \$2,907 as of 10/19/2020. RECOMMENDED ACTION: Reduce LFF to \$50	Member Flynn	To approve the staff recommendation.	A roll call vote was taken. All members voted in the affirmative.
Antonio Nerios (House candidate)	\$30 LFF	Original EIS	Candidate filed affidavit of candidacy 6/1/2020, making EIS due 6/15/2020. Candidate states that he thought EIS needed only if he reached \$750 threshold for registering candidate committee. EIS was filed 7/20/2020. RECOMMENDED ACTION: No action	No motion		
Roger Steinkamp MN Senate (18607)	\$50 LFF	2020 Pre-general	Candidate planned to finish report on due date but completed report on morning after deadline. Committee reported cash balance of \$14,556 as of 10/19/2020. RECOMMENDED ACTION: No action	No motion		

C. Informational items

1. Payment of civil penalty for corporate contribution

Cass County RPM, \$400

2. Payment of civil penalty for exceeding individual contribution limit

Brad Kovach Committee to Elect for House, \$250
 John Heinrich for House, \$100

3. Partial payment of civil penalty for spending limit violation

Doug Wardlow for Attorney General, \$100

4. Payment of civil penalty for contribution from unregistered association without required disclosure

Communications Workers of America Minnesota State Council, \$100

5. Payment of late filing fee for 2020 pre-primary 24-hour notice

Firefighters Association of Minneapolis Political Fund, \$250
Minnkota Power Action Committee, \$50
Torkelson (Paul) for State Representative, \$50

6. Payment of late filing fee for September 2020 report of receipts and expenditures

OAK PAC, \$25
Libertarian Party of Minnesota, \$25
Firefighters Association of Minneapolis Political Fund, \$25

7. Payment of late filing fee for 2020 pre-primary report of receipts and expenditures

13th Senate District DFL, \$100
Carpenters Local 930 PAC, \$50

8. Payment of late filing fee for June 2020 report of receipts and expenditures

Women's Victory Fund (Women PAC), \$325

9. Payment of late filing fee for 2020 1st quarter report of receipts and expenditures

Women's Victory Fund (Women PAC), \$100

10. Payment of late filing fee for 2018 pre-general report of receipts and expenditures

MSCA-PAC, \$50

11. Payment of late filing fee for lobbyist disbursement report due 6/15/2020

Ronald Dicklich, \$100
Edward Cooper, \$75

12. Payment of late filing fee for original EIS

Arjun Kataria, \$100

13. Forwarded anonymous contributions

Kari Dziedzic for State Senate, \$375
Bergstrom (Donna) Volunteer Committee, \$150

PRIMA FACIE DETERMINATION

Mr. Olson presented members with a memorandum regarding this matter that is attached to and made a part of these minutes. Mr. Olson told members that when the Board chair determines that a complaint does not state a prima facie violation, the chair must dismiss the complaint without prejudice. After a dismissal, the matter is public and the dismissal is reported to the full Board for informational

purposes at the next regularly scheduled meeting. Mr. Olson said that Chair Haugen had determined that a complaint filed by C.T. Marhula regarding Greg Kuhn, a candidate for Bemidji City Council, had not stated a prima facie violation of any provision under the Board's jurisdiction. Mr. Olson stated that the complaint alleged that campaign material promoting Mr. Kuhn's candidacy did not include the disclaimer required by Minnesota Statutes section 211B.04. Mr. Olson said that although the Board had jurisdiction to investigate disclaimer violations for state-level candidates, it had no jurisdiction over these violations by a local candidate. Mr. Olson said that on October 1, 2020, the chair therefore concluded that the complaint did not allege a prima facie violation of any statute under the Board's jurisdiction and dismissed the complaint without prejudice.

LEGAL COUNSEL'S REPORT

Mr. Hartshorn presented members with a legal report that is attached to and made a part of these minutes. Mr. Hartshorn had nothing to add to the grid on the legal report. Mr. Hartshorn told members that now that the November election was over, he would be able to turn his attention to the new cases on the grid.

OTHER BUSINESS

There was no other business to report.

EXECUTIVE SESSION

The chair recessed the regular session of the meeting and called to order the executive session. Upon recess of the executive session, the chair had the following to report into regular session:

Findings, conclusions, and order in the matter of the complaint of Christina Ogata regarding the Campaign Committee of Elliot W. Engen

There being no other business, the meeting was adjourned by the chair.

Respectfully submitted,

Jeff Sigurdson
Executive Director

Attachments:

Executive director's report

Memorandum regarding prima facie determination – no violation

Legal report

Findings, conclusions, and order in the matter of the complaint of Christina Ogata regarding the Campaign Committee of Elliot W. Engen



MINNESOTA CAMPAIGN FINANCE BOARD

Date: November 25, 2020

To: Board Members

From: Jeff Sigurdson, Executive Director

Telephone: 651-539-1189

Re: Executive Director's Report

Board Operations

Staffing: The position of program administrator left vacant by the retirement of Marcia Waller has been filled. Erika Ross will be joining the Board's staff on December 14th. Ms. Ross previously worked for Ramsey County and the city of Minneapolis in election administration; in particular voter registration and election judge recruitment. Ms. Ross is currently working for the city attorney's office in Minneapolis as a project specialist. The position Ms. Ross will be filling is responsible for processing all registrations for lobbyists, candidates, and political committees. In addition, the position is responsible for administrating the lobbyist and lobbyist principal reporting periods. It was extremely important to fill the position before the lobbyist disbursement reports are due in January and before the expected rush of lobbyist registrations that occurs at the beginning of a legislative session.

Budget Development: When the legislature convenes on January 5, 2021, it will focus on the state budget for fiscal years 2022 and 2023 (July 1, 2021 – June 30, 2023). As part of the budget process each state agency, board, or commission works with Minnesota Management and Budget (MMB) to provide an agency background narrative. The narrative provides a summary of each agency's mission, services, and performance information as well as a snapshot of how the agency is funded. The narrative for the Board is attached to this document for your information. The background narratives for all agencies will be incorporated into agency biennial budget books for the base submission, which will be published on November 30, and the Governor's biennial budget submission, which is scheduled to be released on January 26, 2021.

Attachments

Campaign Finance Board Agency Profile

<https://cfb.mn.gov>

AT A GLANCE

Campaign Finance Regulation and Disclosure

- Administers registration and reporting for about 750 candidates, 320 political party units, 400 political committees and funds, and 60 independent expenditure committees and funds.
- Approximately 5,250 campaign financial reports will be filed with the Board in 2020.
- Between 2000 and 2018, independent expenditures reported to and regulated by the Board increased from \$3.7 million to \$33.4 million, an increase of about 900%.
- Will distribute approximately \$2.1 million in public subsidy money to legislative candidates in 2020.

Lobbyist Registration and Disclosure

- Administers registration and reporting for about 1,450 lobbyists.
- Approximately 4,500 lobbyist reports filed each year.
- In 2019, the 1,442 principals represented by lobbyists reported \$76.1 million in expenditures to influence the official actions of public officials.

Public Officials Financial Disclosure

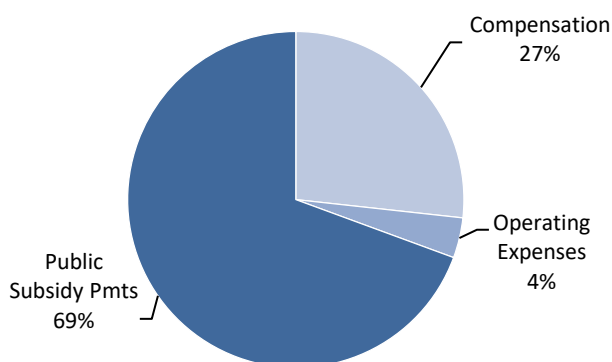
- Currently maintain economic interest disclosure for about 3,100 public official positions. Disclosure reported to the Board now includes county commissioners and judges.

PURPOSE

The Board's mission is to promote public confidence in state government decision-making through development, administration, and enforcement of disclosure and public financing programs that will ensure public access to, and understanding of, information filed with the Board.

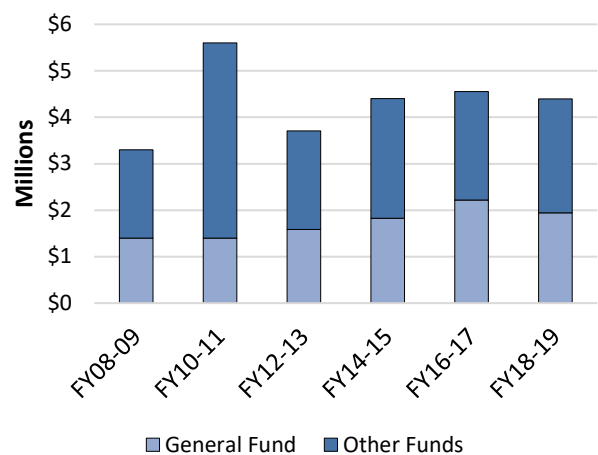
BUDGET

**Spending by Category
FY 2019 Actual**



Source: Budget Planning & Analysis System (BPAS)

Historical Spending



Other Funds represents public subsidy payments. Public subsidy funds are derived from a statutory general fund appropriation and transfers to the special revenue fund based on political party check-off by individuals on state income and property tax forms. (M.S. 10A.31)

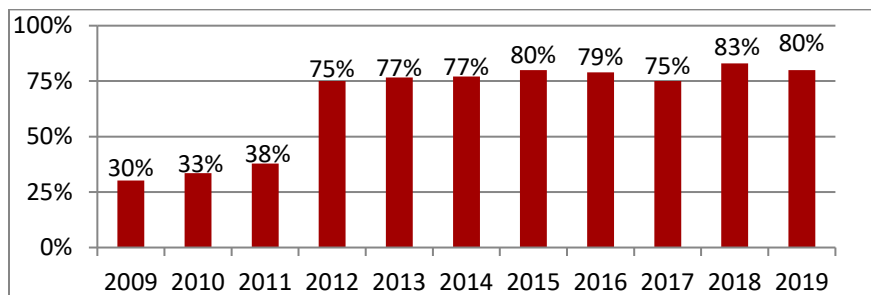
STRATEGIES

The Campaign Finance and Public Disclosure Board achieves its mission using these strategies:

- Facilitate easier and more universal compliance with Chapter 10A (Minnesota’s campaign finance and public disclosure statutes) by providing strong support for treasurers, most of whom are volunteers, and for other filers so that they can understand and meet the obligations of their positions.
- Cultivate an environment of voluntary compliance by providing educational programs, publications, training, consultation, software, internet applications, and other aids to enable those governed by Chapter 10A to understand and comply with their statutory obligations.
- Provide consistent, non-partisan administration of the compliance and disclosure statutes so that regulated entities understand that when compliance is not voluntary, it will be required through agency action and to ensure that subjects of compliance procedures are treated fairly and impartially.
- Help citizens become better informed about public and private financing of election campaigns, the financial interests and possible conflict of interests of public officials, and about lobbying. With access to campaign finance, economic interest statements, and lobbying data, citizens will have a higher level of confidence that elected and appointed officials’ decision-making is not unduly influenced by outside interests or the personal interest of the official.
- Provide transparency concerning money in Minnesota politics by publishing campaign finance and other data filed with the Board in easily accessible and meaningful formats for citizen use through its website, printed materials, and electronic communications.
- Ensure the integrity of the state’s program of partial public financing of political campaigns by determining eligibility, calculating amounts, and distributing public subsidy funding to qualified candidates and parties.
- The Board uses technology (online reporting and PC based applications) to gather and publish disclosure information to the public in a timely manner. Through the Board’s public disclosure of financial reports and statements citizen confidence in state government is supported.

RESULTS

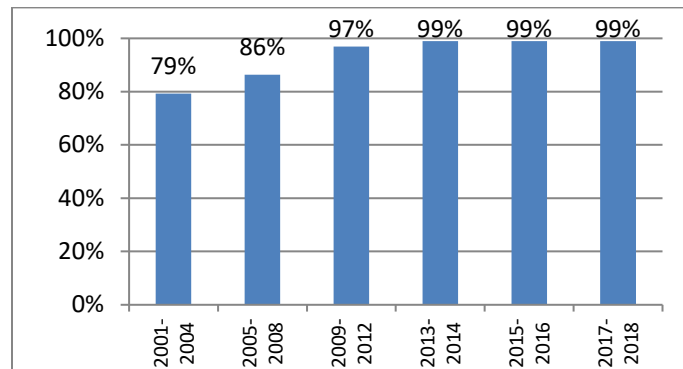
Measure: Quantity – Percentage of campaign finance filers reporting electronically



Outcome:

- Electronically filed reports are available for public inspection sooner than paper reports.
- The software used to generate electronic reports also performs a compliance check on data entry, helping treasurers identify contributions that should not be accepted, and expenditures that are prohibited.

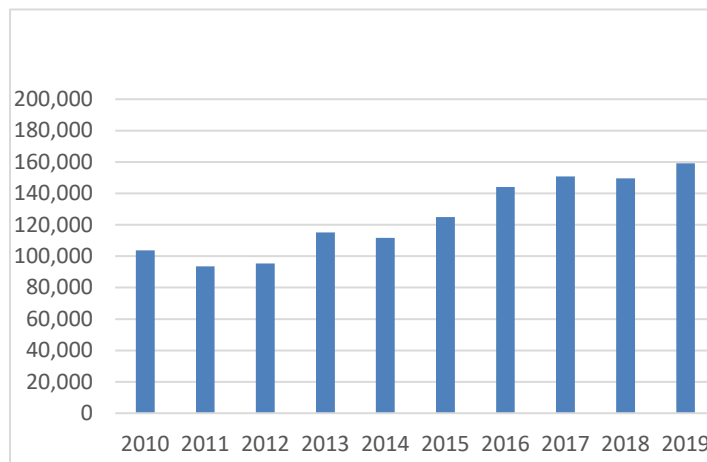
Measure: Quantity – Percent of transactions where donor and recipient reports of contributions reconcile



Outcome:

- Accurate campaign finance data is published so that citizens are better informed about money used to influence elections.
- Increased compliance with campaign finance disclosure laws.

Measure: Quantity – Visits to new Board website released in 2016. New site was designed to provide public with better access to disclosure and compliance information.



Outcome:

- Approximate 30% increase in number of distinct visits to website from 2015 to 2019.
- Twenty-six new data downloads (CSV files) developed for new website so that interested members of the public have direct access to disclosure data.



MINNESOTA CAMPAIGN FINANCE BOARD

Date: November 25, 2020

To: Board Members

From: Jeff Sigurdson, Executive Director

Telephone: 651-539-1189

Re: Legislative recommendations for the lobbyist program

This memo will try to review the proposed legislative recommendations to the lobbyist program in a different way; namely what will the new reporting requirements look like in practice, and how does that compare with the information now being collected. To best illustrate the new reporting requirements in the legislative recommendations staff has developed a prototype online reporting application based on those requirements. The reporting application is available to try at https://lobbyist.cfb.mn.gov/reporting_test/login To sign on to the application use the username and password provided on the login page. The test application was done in record time by Jon Peterson, who manages web applications for the Board. However, it is a test application for demonstration and therefore is a little rough around the edges and contains some typos and awkward transitions. The test application is based on the existing reporting application for lobbyist disbursements, and will look familiar to any lobbyist who has previously reported online. The default for the test application is a self-reporting lobbyist who is also the designated lobbyist for the association.

Lobbyist Information Screen: The first screen provides the lobbyist with an opportunity to review and correct contact information on file with the Board. This is an existing screen in the current reporting application. Click on the “Continue” button on the upper right-hand corner of the screen.

LobLastName, LobFirstName (10000) / AssocName (10000)
Period Covered: January 1 through May 31, 2020
 Due Date: 06/15/2020

Filing Instructions

The lobbyist disbursement report contains a Lobbyist Information section, a Lobbyist Disbursement Status section, and Schedules for providing detailed information. Each section and schedule is displayed on a separate screen. At the top of each screen are two buttons, one to display the next section of the report and one to return to the previous screen.

After you have completed all sections and schedules, the system will display a completed report. **At this point, you may save the report and return to it later to certify and submit.** After verifying the information you are going to submit, check the Certification box at the bottom of the screen. You then click the "OK" button to complete submission of the report.

You may print off a copy of the completed report for your own records from the certification page.

All information on the lobbyist report is public information.

Address questions to Board staff at 651-539-1187 or 800-657-3889.

Lobbyist Information

Please verify the lobbyist information below is correct.
 Lobbyist name: LobLastName, LobFirstName Registration number: 10000
 Association: AssocName (10000)

Address:

City: State: Zip:

Daytime Telephone:

E-mail Address: (E-mail Required)

Association Website: (Website Address Required)

The next screen, Lobbyist Comments, is also an existing screen in the current reporting application. Please select the “Continue” button.

The next screen allows the lobbyist to identify which schedules will be needed for the report. The lobbyist selects the types of lobbying provided for the entity represented, and indicates if there were advertisements that must be reported. The point of this screen is to reduce the time it takes for the lobbyist to complete the report by eliminating irrelevant schedules. For demonstration purposes, I will select all lobbying types and the advertisements schedule, then select the “Begin Schedules” button.

Lobbyist Disbursement Report
LobLastName, LobFirstName (10000) / AssocName (10000)
Period Covered: January 1 through May 31, 2020
Due Date: 06/15/2020

[Log Out](#)

[Previous](#)[Save report now and certify later](#)[Begin Schedules](#)

Check appropriate box(es) below

- Legislative Lobbying** I, and/or the lobbyists I am reporting for, lobbied the legislature of behalf of this entity during this reporting period (If legislative lobbying occurred, complete Schedule A).

- Public Utilities Commission Lobbying** I, and/or the lobbyists I am reporting for, lobbied the Public Utilities Commission on behalf of this entity during this reporting period (If lobbying of the Public Utilities Commission occurred, complete Schedule B).

- Administrative Lobbying** I, and/or the lobbyists I am reporting for, lobbied administrative rule making of behalf of this entity during this reporting period (If lobbying of administrative rule making occurred, complete Schedule C).

- Metropolitan Governmental Unit Lobbying** I, and/or the lobbyists I am reporting for, lobbied Metropolitan Governmental Units of behalf of this entity during this reporting period (If lobbying of administrative rule making occurred, complete Schedule D).

- Advertisements** The entity I represent spent over \$2,000 on one or more paid advertisements in support of lobbying efforts during the reporting period. (If advertisements were purchased complete Schedule E)

- I am terminating my lobbyist registration and will send to the Campaign Finance and Public Disclosure Board a Lobbyist Termination Statement. Termination Date
(MM/DD/YYYY)

Legislative lobbying: Schedule A is used to report the specific subjects of interest that accounted for at least 25% of the lobbying effort on behalf of the entity. If the legislative lobbying effort for the specific subject is contained in a bill passed by at least one body of the legislature the lobbyist also lists the appropriate bill number. At a maximum the lobbyist will report four specific subjects, and in practice, the lobbyist probably will report less than four. Currently, a lobbyist does not report lobbying efforts for specific subjects of interest, or the bill number of successful legislation that contained the lobbying effort.

Adding the bill number was suggested by Board members at the November meeting. If the Board wants to incorporate that change it will be added to the reporting requirements in the draft legislation. Board members also suggested that staff look at including the legislative committee name for lobbying reporting efforts that met the reporting threshold. In practice, that was difficult to do because most legislation passes through multiple committees, sometimes more than once

if significant amendments occur, and a lobbyist could find it difficult to accurately report the primary committee for a lobbying effort.

Lobbyist Disbursement Report (Schedule A)
LobLastName, LobFirstName (10000) / AssocName (10000)
Period Covered: January 1 through May 31, 2020
Due Date: 06/15/2020

[Log Out](#)

[Previous](#) [Save report now and certify later](#) [Next Schedule](#)

Legislative Lobbying

Report each specific subject of interest that accounted for 25% of more of the legislative lobbying effort on behalf of the entity during the reporting period. If your lobbying effort for a specific subject of interest meets the reporting threshold was contained in a bill that passed at least one body of the legislature identify the bill number. If your lobbying effort on a specific subject meets the reporting threshold but that specific subject was not contained in a bill that passed at least 1 body of the legislature, you may leave the bill number field empty.

[Delete Selected Rows](#)

	Specific subject of Interest	Bill number
<input type="checkbox"/>	Charter school teacher certification	HF2020
<input type="checkbox"/>		
<input type="checkbox"/>		
<input type="checkbox"/>		

Public Utilities Commission Lobbying: Schedule B is used to report the project names of the lobbying efforts before the Public Utilities Commission that accounted for at least 25% of the lobbying effort for the represented entity. Initially the reporting requirement was going to be the docket number for the rate setting, power plant and powerline siting, or granting of certificate of need before the Public Utilities Commission. But thankfully the Public Utilities Commission assigns an official project name to each docket number, which will be easier for the public to review than a docket number. Currently, lobbyist do not report any information on the projects of interest before the Public Utilities Commission.

Lobbyist Disbursement Report (Schedule B)
LobLastName, LobFirstName (10000) / AssocName (10000)
Period Covered: January 1 through May 31, 2020
Due Date: 06/15/2020

[Log Out](#)

[Previous Schedule](#) [Save report now and certify later](#) [Next Schedule](#)

Public Utilities Commission Lobbying

Report the official Public Utility Commission project name of the rate setting, power plant and powerline siting, or granting of certificate of need that accounted for 25% or more of the total lobbying effort before the Public Utilities Commission during the reporting period. If more than one project is reported, the projects should be listed in descending order of importance.

[Delete Selected Rows](#)

	Project name
<input type="checkbox"/>	Winona Wind Energy
<input type="checkbox"/>	
<input type="checkbox"/>	
<input type="checkbox"/>	

Administrative Lobbying: Schedule C is used to report any agency rulemaking effort that was lobbied on behalf of the represented entity. The lobbyist identifies the agency from the drop-down list of agencies, boards, and commissions that have rulemaking authority. Under the current statutory definition, an administrative rulemaking begins when the request for comments is published by the agency. At this point in the process, a revisor number has been attached to the rulemaking effort and that number must be reported by the lobbyist. If there is a specific subject of interest in the rulemaking that accounts for 25% or more of the lobbying effort on the rules, the lobbyist must identify that subject. Currently, lobbyists do not identify any agency that is lobbied, or any information about the rulemaking that is the subject of the lobbying effort.

Lobbyist Disbursement Report (Schedule C) Log Out

LobLastName, LobFirstName (10000) / AssocName (10000)

Period Covered: January 1 through May 31, 2020
Due Date: 06/15/2020

Previous Schedule
Save report now and certify later
Next Schedule

Administrative Lobbying

Report each state agency, board, or commission administrative rulemaking on which you lobbied on behalf of the entity during the reporting period. If the rulemaking has a revisor number, report that number. List any specific subject of interest within a rulemaking effort that accounted for 25% or more of the administrative lobbying effort on behalf of the entity during the reporting period. You may leave the subject of interest field empty if your lobbying on any specific subject of interest before a listed agency, board or commission did not meet the 25% threshold.

Delete Selected Rows

	State Agency, Board, or Commission	Revisor Number	Specific Subject of Interest
<input type="checkbox"/>	<input type="text" value="Agriculture, Dept. of"/>	<input type="text" value="22222"/>	<input type="text" value="Meat processing plant water treatment standards"/>
<input type="checkbox"/>	<input type="text" value="Select State Agency, Board, or Commission"/>	<input type="text"/>	<input type="text"/>
<input type="checkbox"/>	<input type="text" value="Select State Agency, Board, or Commission"/>	<input type="text"/>	<input type="text"/>
<input type="checkbox"/>	<input type="text" value="Select State Agency, Board, or Commission"/>	<input type="text"/>	<input type="text"/>

Metropolitan Governmental Unit Lobbying: Schedule D is used to identify each metropolitan governmental agency lobbied during the reporting period. The screen provides a drop-down list of the cities, counties, and other governmental units covered by the lobbying provisions in Chapter 10A. If a specific subject of interest represented 25% or more of the lobbying effort before the metropolitan governmental unit the subject must also be reported. Currently, there is no information provided on what cities, counties, or other governmental units are lobbied, or what subjects before these bodies are of interest to the represented entity.

Lobbyist Disbursement Report (Schedule D)
LobLastName, LobFirstName (10000) / AssocName (10000)
 Period Covered: January 1 through May 31, 2020
 Due Date: 06/15/2020

Log Out

Previous Schedule

Save report now and certify later

Next Schedule

Metropolitan Governmental Unit Lobbying

Report each Metropolitan Governmental Unit in which you lobbied on behalf of the entity during the reporting period. List the specific subject of interest within each Metropolitan Governmental Unit lobbied that accounted for 25% or more of the lobbying effort on behalf of the entity during the reporting period. You may leave the subject of interest field empty if your lobbying on any specific subject of interest before a listed Metropolitan Governmental Unit did not meet the 25% threshold.

Delete Selected Rows	
Metropolitan Governmental Unit	Specific Subject of Interest
<input type="checkbox"/> Apple Valley	Liquor license
<input type="checkbox"/> Minneapolis	Liquor license
<input type="checkbox"/> Minneapolis	Plastic Bag surtax
<input type="checkbox"/> Select Metropolitan Governmental Unit	

Advertisements in Support of Lobbying: Schedule E is used only by the designated lobbyist for the represented entity. Under current administrative rule the designated lobbyist reports the lobbying disbursements made directly by the entity in broad categories, including total disbursements for media advertising. However, there is no identification of specific advertising bought to support the lobbying effort. Under the legislative proposal the designated lobbyist no longer reports lobbying expenditures made directly by the represented entity except for advertising with a value of over \$2,000 during the reporting period that urges members of the public to contact public and local officials and influence official actions. The reporting required is roughly equivalent to the reporting required for candidates, party units, and political committees (although at a much higher expenditure threshold).

Previous Schedule

Save report now and certify later

Next Schedule

Advertisements in Support of Lobbying

Report for the entity represented any disbursements made or obligations incurred that exceed \$2,000 during the reporting period for advertising that urges members of the public to contact public or local officials to influence official actions. Advertising includes the cost to boost the distribution of an advertisement on social media.

Delete Selected Rows			Add Rows	Select All Rows	Unselect All Rows
Date of Purchase	Vendor Name	Vendor Address	Lobbying Subject(s) in Advertisement	Cost	Item Purchased
<input type="checkbox"/> 05/01/2020	ABC Printing	2000 Main Street, St. Paul, MN 55555	Spending for mass transit	\$6,900.00	Billboard signs
<input type="checkbox"/>					
<input type="checkbox"/>					

After completing Schedule E the lobbyist is given a chance to review the information entered before certifying the report to the Board. I encourage Board members and interested members of the public to try the test reporting application. Also attached is the draft version of the legislative proposal for the lobbying program.

Attachments

Draft statutory language

10A.01 DEFINITIONS

Minnesota Statutes 2018, section 10A.01, subdivision 21, is amended to read:

Subd. 21. **Lobbyist.** (a) "Lobbyist" means an individual:

(1) engaged for pay or other consideration of more than \$3,000 from all sources in any year for the purpose of attempting to influence legislative or administrative action, or the official action of a metropolitan governmental unit, (a) by communicating or urging others to communicate with public or local officials; or (b) by facilitating access to public or local officials;
or

(2) who spends more than \$3,000 ~~250~~ of the individual's personal funds, not including the individual's own traveling expenses and membership dues, in any year for the purpose of attempting to influence legislative or administrative action, or the official action of a metropolitan governmental unit, by communicating or urging others to communicate with public or local officials.

* * * *

Minnesota Statutes 2018, section 10A.01, is amended by adding subdivisions to read:

Designated lobbyist. "Designated lobbyist" means the lobbyist responsible for reporting the lobbying disbursements and activity of the entity the lobbyist represents. An entity that is represented by lobbyists may have only one designated lobbyist at any given time.

General lobbying category. "General lobbying category" means an area of interest for lobbying for an entity that is on a list of categories specified by the board.

Specific subject of interest. "Specific subject of interest" means a particular topic or area of lobbying interest within a general lobbying category. The specific subjects of interest for an entity during a reporting period are disclosed by a reporting lobbyist on the report submitted to the Board for that period. The specific subject of interest must be described with enough information to show the particular issue of importance to the entity represented.

Official action of metropolitan governmental units. "Official action of metropolitan governmental units" means any action that requires a vote or approval by one or more elected local officials while acting in their official capacity; or an action by an appointed or employed local official to make, to recommend, or to vote on as a member of the governing body, major decisions regarding the expenditure or investment of public money.

Legislative action. "Legislative action" means the development of prospective legislation; or the review, modification, adoption, or rejection of any bill, amendment, resolution, nomination, administrative rule, or report by a member of the legislature or employee of the legislature. "Legislative action" also means the development of prospective legislation, or a request for support or opposition to introduced legislation, with a constitutional officer. Legislative action includes the action of the governor in approving or vetoing any bill or portion of a bill.

10A.03 LOBBYIST REGISTRATION

Minnesota Statutes 2018, section 10A.03, subdivision 2, is amended to read:

Subd. 2. **Form.** The board must prescribe a registration form, which must include:

(1) the name, address, and e-mail address of the lobbyist;

(2) the principal place of business of the lobbyist;

(3) the name and address of each individual, association, political subdivision, or public higher education system, if any, by whom the lobbyist is retained or employed or on whose behalf the lobbyist appears;

(4) the website address of each association, political subdivision, or public higher education system identified under clause (3), if the entity maintains a website; ~~and~~

(5) the general lobbying categories, description of the subject or subjects on which the lobbyist expects to lobby for the entity represented; and

~~(6) if the lobbyist lobbies on behalf of an association, the registration form must include the name and address of the chief officers and directors of the association.~~

Minnesota Statutes 2018, section 10A.03, is amended by adding subdivision 6 to read:

Subd. 6. **General lobbying categories.** A list of general lobbying categories must be specified by the board and updated periodically based on public comment. The board must publish on its website the current list of general lobbying categories. Chapter 14 does not apply to the specification, publication, or periodic updates of the list of general lobbying categories.

10A.04 LOBBYIST REPORTS

Minnesota Statutes 2018, section 10A.04, subdivision 3, is amended to read:

Subd. 3. **Information to lobbyist.** An entity, or employee lobbyist ~~about whose activities are reported to the Board by another~~ a lobbyist is required to ~~report~~ ~~must~~ provide the information required by subdivision 4 to the designated reporting lobbyist no later than five days before the prescribed filing date.

Minnesota Statutes 2018, section 10A.04, subdivision 4, is amended to read:

Subd. 4. **Content.** (a) A report under this section must include information the board requires from the registration form and the information required by this subdivision for the reporting period. The determination of whether a legislative, administrative, or metropolitan governmental unit action or a Public Utilities Commission project met the 25% reporting threshold must be based on the lobbyist's reasonable, good faith estimate of the lobbyist's total efforts on behalf of the entity.

~~(b) A lobbyist must report the lobbyist's total disbursements on lobbying, separately listing lobbying disbursements to influence legislative action, lobbying to influence administrative action, and lobbying to influence the official actions of a metropolitan governmental units and a breakdown of disbursements for each of those kinds of lobbying into categories specified by the board, including but not limited to the cost of publication and distribution of each publication used in lobbying; other printing; media, including the cost of production; postage; travel; fees, including allowances; entertainment; telephone and telegraph; and other expenses.~~

(b) A lobbyist must report every state agency that had administrative action that the represented entity sought to influence during the reporting period. If an administrative action accounted for 25% or more of the lobbyist's effort on behalf of the represented entity during the reporting period, the lobbyist must report the specific subjects of interest for that action. The specific subjects of interest reported must be listed in the order of importance to the entity represented

(c) A lobbyist must report every metropolitan governmental unit that considered official action that the entity represented sought to influence during the reporting period. If an official action by a metropolitan governmental unit accounted for 25% or more of that lobbyist's effort on behalf of the represented entity during the reporting period, the lobbyist must report the specific subjects of interest for that action. The specific subjects of interest reported must be listed in the order of importance to the entity represented.

(d) If a legislative action accounted for 25% or more of that lobbyist's efforts on behalf of the entity represented during the reporting period, the lobbyist must report the specific subjects of interest for that action. The specific subjects of interest reported must be listed in the order of importance to the entity represented.

(e) If a rate setting, power plant and powerline siting, or granting of certificate of need accounted for 25% or more of that lobbyist's effort on behalf of the principal or employer during the reporting period, the lobbyist must report the Public Utilities Commission project name for that action.

(f) A lobbyist must report the amount and nature of each gift, item, or benefit, excluding contributions to a candidate, equal in value to \$5 or more, given or paid to any official, as defined in section 10A.071, subdivision 1, by the lobbyist or an employer or employee of the lobbyist. The list must include the name and address of each official to whom the gift, item, or benefit was given or paid and the date it was given or paid.

(g) A lobbyist must report each original source of money in excess of \$500 in any year used for the purpose of lobbying to influence legislative action, administrative action, or the official action of a metropolitan governmental unit. The list must include the name, address, and employer, or, if self-employed, the occupation and principal place of business, of each payer of money in excess of \$500.

(h) The designated lobbyist must report disbursements made, and obligations incurred, that exceed \$2,000 for paid advertising used for the purpose of urging members of the public to contact public or local officials to influence official actions during the reporting period. Paid advertising includes the cost to boost the distribution of an advertisement on social media. The report must provide the date that the advertising was purchased, the name and address of the vendor, a description of the advertising purchased, and any specific subject(s) of interest addressed by the advertisement.

(i) On the report due June 15, the lobbyist must ~~provide update or confirm a~~ provide update or confirm a the general lobbying categories for the entity represented that were lobbied on in the previous 12 months.

Minnesota Statutes 2018, section 10A.04, subdivision 6, is amended to read:

Subd. 6. Principal reports. (a) A principal must report to the board as required in this subdivision by March 15 for the preceding calendar year.

~~(b) Except as provided in paragraph (d),~~ The principal must report the total amount, rounded to the nearest \$2_10,000, spent by the principal during the preceding calendar year to influence legislative action, administrative action, and the official action of metropolitan governmental units. on each type of lobbying listed below:

(1) lobbying to influence legislative action;

(2) lobbying to influence administrative action, other than lobbying described in clause (3);

(3) lobbying to influence administrative action in cases of rate setting, power plant and powerline siting, and granting of certificates of need under section 216B.243; and

(4) lobbying to influence official action of metropolitan governmental units.

~~(c) Except as provided in paragraph (d),~~ For each type of lobbying listed in paragraph (b), the principal must report under this subdivision a total amount that includes:

(1) the portion of all direct payments for compensation and benefits paid by the principal to lobbyists in this state for that type of lobbying;

(2) the portion of all expenditures for advertising, mailing, research, consulting, surveys, expert testimony, studies, reports, analysis, compilation and dissemination of information, social media and public relations campaigns, and legal counsel, used to support that type of lobbying related to legislative action, administrative action, or the official action of metropolitan governmental units in this state; and

(3) a reasonable good faith estimate of the portion of all salaries and administrative overhead expenses attributable to activities of the principal for that type of lobbying relating to efforts to influence legislative action, administrative action, or the official action of metropolitan governmental units in this state; and

(4) the portion of all lobbying disbursements not listed in clause (2) that were made or incurred on behalf of the principal by all lobbyists for the principal in this state for that type of lobbying.

~~(d) A principal that must report spending to influence administrative action in cases of rate setting, power plant and powerline siting, and granting of certificates of need under section 216B.243 must report these amounts as provided in this subdivision, except that they must be reported separately and not included in the totals required under paragraphs (b) and (c).~~

4511.0600 REPORTING DISBURSEMENTS

Minnesota Rules, part 4511.0600, subpart 5, is repealed.

4511.0800 ADMINISTRATIVE ACTION

Minnesota Rules part 4511.0800 is repealed.



MINNESOTA CAMPAIGN FINANCE BOARD

Date: November 25, 2020

To: Board members
Counsel Hartshorn

From: Andrew Olson, Legal/Management Analyst

Subject: Enforcement report for consideration at the December 2, 2020 Board meeting

A. Discussion Items

1. Balance Adjustment Request – Winona County DFL (20023)

A request for a cash balance adjustment of over \$200 must be brought to the Board for approval. This party unit reported a 2018 ending cash balance of \$5,038.62, but the balance in the party unit's bank account as of the end of 2018 was actually \$5,326.78, which was the amount reported as the 2019 beginning cash balance. The discrepancy appears to be caused by small amounts of funds that went unaccounted for in 2016 and 2017, as well as a previous balance adjustment granted in 2016 not being entered into the Campaign Finance Reporter software by a previous treasurer. The current treasurer has reviewed the party unit's bank statements but is unable to reconcile the committee's 2016 and 2017 year-end reports. The party unit is requesting that its 2018 ending cash balance be adjusted upward by \$288.16, from \$5,038.62 to \$5,326.78. The party unit has provided data showing the ending balances in the party unit's bank account for each year from 2015 onward. The party unit was granted a \$640.61 adjustment to its 2015 ending cash balance in February 2016, but that adjustment was never entered into the software.

2. Balance Adjustment Requests – Mower County DFL (20172)

This party unit reported a 2017 ending cash balance of \$2,427.84, but the balance in the party unit's bank account as of the end of 2017 was actually \$3,098.92, which was the amount reported as the 2018 beginning cash balance. The party unit reported a 2019 ending cash balance of \$3,319.61, but the balance in the party unit's bank account as of the end of 2019 was actually \$3,833.28. \$150 of that difference is accounted for by a payment to a vendor that was reported in 2019 but not deposited until 2020. The treasurer has reviewed the party unit's bank statements and other financial records but is unable to reconcile the committee's 2017 and 2019 year-end reports. The party unit is requesting that its 2017 ending cash balance be adjusted upward by \$671.08, from \$2,427.84 to \$3,098.92, and that its 2019 ending cash balance be adjusted upward by \$363.67, from \$3,319.61 to \$3,683.28. The treasurer has provided documentation showing the balances in the party unit's bank account as of the end of 2017, 2018, and 2019. The party unit was granted a \$108 adjustment to its 2015 ending cash balance in February 2016.

B. Waiver Requests

#	Committee/ Entity	Late Fee/ Civil Penalty	Report Due	Factors	Prior Waivers	Recommended Action
1	Wright County RPM (20574)	\$400 LFF	2020 Pre-General	Treasurer mistakenly filed an amended pre-primary report via the CFR software on the due date, 10/26/2020, rather than the pre-general report. After realizing the error the correct report was filed 11/5/2020. The party unit reported a cash balance of \$10,797 as of 10/19/20.	\$250 LFF reduced to \$100 in Sept. 2006 due to transition in treasurers	Waive
2	Vote Wright (Robert) in 2020 (18493)	\$1,000 LFF \$1,000 CP	2020 Pre-Primary	Candidate believes he emailed a paper report to Board staff in late July. Other emails Candidate sent to the Board have been identified as spam and placed in a quarantine folder, in which items are automatically deleted after a period of 15 days. The report was due 7/27/2020, is dated 7/29/2020, and was filed 11/11/2020. Staff's recommendation to waive is based on the fact that total receipts and total expenditures were each less than \$200, so the candidate was not required to register a committee. The committee reported a cash balance of \$49 as of 7/20/2020.	\$1,000 LFF and \$200 CP for candidate's previous House committee's 2018 pre-primary report waived in Nov. 2018 as candidate didn't think he needed to file a report since he didn't cross the \$750 threshold	Waive
3	Rep. Aisha Gomez (House candidate)	\$70	Original EIS	Candidate filed affidavit of candidacy 5/22/2020, making the EIS due 6/5/2020. Candidate lives in Minneapolis and the EIS was due during ongoing civil unrest, during which Candidate was busy trying to defend her neighborhood against fires and other attacks. EIS was filed 7/12/2020.	No	Waive
4	Omar Fateh Senate Committee (18488)	\$550 LFF	2020 Pre-General	Committee changed treasurers and the treasurer name was not updated by the committee within the CFR software, which is needed to complete the treasurer certification and upload a report. Committee believed the report was filed by the due date, 10/26/2020, but a report cannot be uploaded and the software will not show a report as having been filed successfully unless the treasurer certification is completed. Treasurer and Chair contacted Board staff 11/4/2020 and after some troubleshooting the report was filed 11/10/2020. The committee reported a cash balance of \$757 as of 10/19/2020.	\$1,000 CP for candidate's House committee's 2018 year-end report waived in Aug. 2020 as candidate thought the treasurer filed the report and didn't receive mailed notices due to address change	Reduce to \$350

5	Robyn Smith (Senate candidate)	\$45 LFF	Original EIS	First-time candidate filed affidavit of candidacy 6/2/2020, making the EIS due 6/16/2020. Candidate states that she filed her EIS in a timely manner but the envelope containing the paper EIS was postmarked 7/14/2020. Candidate states that the process of running for office was overwhelming and she does not intend to run again.	No	No action
6	Ashley Martinez-Perez for MN House (18612)	\$100 LFF	2020 Pre-General	First-time candidate had difficulty using the CFR software. Report was due 10/26/2020 and was filed two days late. The committee reported a cash balance of \$3,300 as of 10/19/2020.	No	No action

C. Informational Items

1. Payment of civil penalty for corporate contribution

LaValley Industries, LLC, \$200

2. Payment of civil penalty for contribution from unregistered association without required disclosure

Minnesota DFL State Central Committee, \$100

3. Payment of civil penalty for prohibited contribution during legislative session

Dr. Maureen Hackett, \$100

4. Payment of late filing fee for 2020 pre-general 24-hour notice

Lindsey Port for MN, \$50

5. Payment of late filing fee for 2020 pre-general report of receipts and expenditures

Roxana (Bruins) for Senate, \$50
 Roger Steinkamp MN Senate, \$50
 Alarm PAC, \$50
 Minnesota AFL-CIO, \$50
 48th Senate District RPM, \$50

6. Payment of late filing fee for September 2020 report of receipts and expenditures

BAILPAC, \$25

7. Payment of late filing fee for 2019 year-end report of receipts and expenditures

Michael Howard for Minnesota House, \$350

8. Payment of late filing fee for lobbyist disbursement report due 6/15/2020

Jacob Grassel, \$100

9. Forwarded anonymous contributions

Draheim (Rich) for Senate, \$50

From: Administrator <office@winonadfl.org>
Sent: Wednesday, November 18, 2020 5:41 AM
To: Olson, Andrew (CFB) <Andrew.D.Olson@state.mn.us>
Cc: cayti.nicholson@gmail.com; Chris Phan <Chris.Phan@GMail.com>;
jacobandersongrippen@gmail.com
Subject: RE: Cash Balance Discrepancy Re Winona County DFL

Andrew,

I appreciate your patience.

I have been unable to reconcile the discrepancy. I am requesting an upward balance adjustment of \$288.16, changing the reported 2018 ending cash balance from \$5,038.62 to \$5,326.78.

We will take care to reconcile our accounts more closely in the future.

Jean

WINONA COUNTY DFL

Treasurer@WinonaDFL.org

(507) 313-3162

Mower County DFL (20172)

TO: CFR board
FR: Craig Knippel, Mower County DFL treasurer
DT: 11-16-20
RF: Budget Adjustment

I am unable to reconcile the difference and asking the CFR Board to adjust our MC DFL party unit's 2019 ending cash balance upward by \$363.67 and to adjust the party unit's 2017 ending cash balance upward by \$671.08

Please consider my request.

Sincerely,

Craig Knippel, MC DFL treasurer
1311 2nd AV SW
Austin, MN 55912
507-573-2677
ctknippel@yahoo.com

Wright County RPM (20574)

From: Kimberly Brown <brownkbrly7@gmail.com>
Sent: Thursday, November 05, 2020 7:56 AM
To: Stevens, Melissa (CFB) <melissa.stevens@state.mn.us>
Subject: Wright County BPOU pre-general

Melissa,

I have sent the pre-general now and apologize for the error. Hopefully, as we discussed, the penalties can be abated. I worked hard to make the filing deadline not realizing I sent the wrong report.

Kimberly Brown
612.599.5056

Vote Wright (Robert) in 2020 (18493)

From: rwright@wrightin2020.org <rwright@wrightin2020.org>

Sent: Wednesday, November 11, 2020 10:46 AM

To: Pope, Jodi (CFB) <jodi.pope@state.mn.us>

Subject: Resending Pre-Primary Rpt

Hi Jodi,

It took me a little doing, but here's a copy of my pre-primary report. I apologize for it taking awhile to locate a copy to re-send. Someday I'll get a new computer built and maybe even get organized.

Best Regards,
Robert M Wright

Rep. Aisha Gomez (House candidate)

November 14, 2020

Minnesota Campaign Finance Board
Megan Engelhardt, Assistance Executive Director
Megan.engelhardt@state.mn.us

RE: Fee Waiver Request for failure to timely file Economic Interest Statement

Dear Ms. Engelhardt:

I am writing this letter to request a fee waiver for Rep. Aisha Gomez's failure to timely file her Economic Interest Statement which was due June 5, 2020.

Aisha and I believe we have good cause in asking for this waiver. Aisha and I both live in South Minneapolis near the site of the murder of George Floyd, blocks from Lake Street, and each of us also live just a few blocks from police precincts that were focal points for the protests and uprising this summer. We both attended protests and defended our neighborhoods from fires and other attacks during the last week of May and first weeks of June. I don't think I need to go into detail here about how traumatic this summer was for us as well as many other residents of South Minneapolis. Particularly in May and June of 2020, it was very difficult to track the date or obligations other than keeping ourselves, our families, and our communities safe.

I ask that you consider the extraordinary circumstances in Minneapolis this summer and waive the fee for this late filing.

Thank you.

KJ Starr
Treasurer, Neighbors for Aisha Gomez

Omar Fateh Senate Committee (18488)

From: Dawson Kimyon <dawson@omarfateh.org>

Sent: Tuesday, November 10, 2020 5:32 PM

To: Engelhardt, Megan (CFB) <megan.engelhardt@state.mn.us>

Cc: Stevens, Melissa (CFB) <melissa.stevens@state.mn.us>; Abdi <abdinooor500@gmail.com>; Omar Fateh <omar@omarfateh.org>

Subject: Re: Report of Receipts and expenditures

Dear CFB,

I am formally requesting a waiver for the late campaign finance report filed today, 11/10/2020. Megan noted that this is our second waiver request from a committee representing Omar Fateh. This is true. What I would clarify, however, is that this late finance report was the result of circumstances outside of our control related to errors within the CFR Reporter software system. We recently switched treasurers to Abdirahman Abdinoor, who with the assistance of myself, completed the CFR on time and it was believed to have been submitted using CFR Reporter software. However, one detail that we missed was that while our committee's treasurer had been officially changed, the software would not accept the certification of the new treasurer, Abdirahman Abdinoor. We were not aware that the report had not been received until we got notice from the CFB staff last week.

We went back in to check and that's when through some troubleshooting, we discovered that the software was looking for the certification of our old treasurer Mohamed Adam. Not wanting to falsely certify a report under his name, I informed Melissa of the issue and through a brief email exchange I explained that we had in fact updated the treasurer, but that it was the software that wasn't working. She advised me to call Gary Bauer. I called and e-mailed him Friday 11/6 and heard back on 11/9 at which point he advised me to just use Mohamed Adam's name to get the report to submit but to e-mail him thereafter. We still got an error a few times, a screenshot of which I sent Gary, but ultimately through a series of reboots and changes to what computer we were using, we were able to get it to submit today 11/10.

I do think we can avoid this in the future by submitting early and calling/emailing to check before the deadline rather than after, and we will do so from now on, but in this instance the limitations, quirks, and glitches of the CFR Software kept us from submitting on time. I do apologize for not pursuing this more aggressively.

CC'd Are Treasurer Abdinoor and Senator Elect Fateh

Sincerely,

Dawson
CM Omar Fateh Senate Committee

On Tue, Nov 10, 2020 at 5:07 PM Engelhardt, Megan (CFB) <megan.engelhardt@state.mn.us> wrote:

Hi Dawson,

The report was filed 11 business days late and the late filing fee is \$50 per day for a total of \$550 in late filing fees. Please feel free to email me with the waiver request. The waiver request should address why the report was filed late and any good cause for the waiver to be granted by the Board. The waiver request is public information and will be included in the public meeting materials. I will note that this is the second waiver request that the Board has heard this year from one of Mr. Fateh's committees, so I think the waiver request will have to address that and perhaps how the committee will be ensuring timely reports going forward. Thanks!

Megan

Megan Engelhardt

Assistant Executive Director

Minnesota State Campaign Finance and Public Disclosure Board

190 Centennial Building

658 Cedar Street

St. Paul, MN 55155-1603

651-539-1182

Megan.Engelhardt@state.mn.us



From: Dawson Kimyon <dawson@omarfateh.org>
Sent: Tuesday, November 10, 2020 4:30 PM
To: Stevens, Melissa (CFB) <melissa.stevens@state.mn.us>
Cc: Engelhardt, Megan (CFB) <megan.engelhardt@state.mn.us>
Subject: Re: Report of Receipts and expenditures

Definitely. How do I do that?

On Tue, Nov 10, 2020 at 4:16 PM Stevens, Melissa (CFB) <melissa.stevens@state.mn.us> wrote:

Dawson,

We received the report for Omar Fateh.

Will you be sending in a waiver request?

Melissa M. Stevens

Compliance Officer

Minnesota Campaign Finance and Public Disclosure Board

658 Cedar Street, Suite 190

St Paul MN 55155

Tel: 651-539-1188

Website: www.cfb.mn.gov

From: Dawson Kimyon <dawson@omarfateh.org>

Sent: Thursday, November 05, 2020 12:30 PM

To: Stevens, Melissa (CFB) <melissa.stevens@state.mn.us>

Subject: Re: Report of Receipts and expenditures

We did that a while ago. It is changed on the CFB website but won't accept it under the new treasurer.

On Thu, Nov 5, 2020 at 7:42 AM Stevens, Melissa (CFB) <melissa.stevens@state.mn.us> wrote:

The software does not notify us that there is a treasurer change. You need to notify us and submit an amended registration form. Here is the link to that form:

https://cfb.mn.gov/pdf/forms/Candidate/Candidate_Registration.pdf?t=1604583629

After we receive it, the new treasurer will receive a user name and password.

For now, submit the report with the previous treasurer info.

Melissa M. Stevens

Compliance Officer

Minnesota Campaign Finance and Public Disclosure Board

658 Cedar Street, Suite 190

St Paul MN 55155

Tel: 651-539-1188

Website: www.cfb.mn.gov

From: Dawson Kimyon <dawson@omarfateh.org>

Sent: Wednesday, November 04, 2020 9:01 PM

To: Abdi <abdinoor500@gmail.com>

Cc: Stevens, Melissa (CFB) <melissa.stevens@state.mn.us>; Omar Fateh <omar.m.fateh@gmail.com>

Subject: Re: Report of Receipts and expenditures

I figured out that the issue is that while we updated our treasurer, the CFR software hasn't caught up yet. So when we tried to submit the report it did not work and we mistakenly thought it had. Should we go ahead and sign Mohamed Adam's name and hit send or will the CFR update at some point @ Melissa?

On Wed, Nov 4, 2020 at 10:11 AM Abdi <abdinoor500@gmail.com> wrote:

Hi Melissa,

I will provide you and update on whether the report of receipts and expenditures have been submitted on time.

Best regards,

Abdi

--

Dawson Kimyon (c) 952-393-7482

Campaign Manager

Omar Fateh for MN Senate 62 - **Rise Together!**

11/9/2020

Dear Megan Engelhart,

I received your letter dated 10/30/2020. I am not sure what you are looking for? I mailed back the candidate statement in a timely manner. I was not financed, and spent my own money to file for election, and did not spend any other money. My campaign was done via word of mouth. I am asking you to wave this fee. I was not successful in my run for election.

Sincerely,

Robyn Smith

3721 County 54 NE

Longville, MN 56655

From: robynm2011 <robynm2011@yahoo.com>
Sent: Thursday, November 19, 2020 9:34 AM
To: Olson, Andrew (CFB) <Andrew.D.Olson@state.mn.us>
Subject: RE: Waiver Request Re Late Filing Fee for Statement of Economic Interest

Unaware of all this and I will not be running again. It was truly a overwhelming experience.
Thank you for your understanding.
Robyn

Sent from my Verizon, Samsung Galaxy smartphone

----- Original message -----

From: "Olson, Andrew (CFB)" <Andrew.D.Olson@state.mn.us>
Date: 11/19/20 9:23 AM (GMT-06:00)
To: robynm2011@yahoo.com
Subject: Waiver Request Re Late Filing Fee for Statement of Economic Interest

Dear Ms. Smith,

Thank you for sending us a waiver request regarding the \$45 late filing fee for your statement of economic interest. Your request will be considered by the Board at its next meeting on December 2. I will be in contact with you shortly thereafter to inform you of the Board's decision.

The late fee accrued because a statement of economic interest is required to be filed within 14 days after filing an affidavit of candidacy. Our records show that your affidavit of candidacy was filed on June 2, 2020, making your statement of economic interest due on June 16, 2020. There is a grace period of ten business days after the due date so the late fee began to accrue at a rate of \$5 per business day starting July 1, 2020, pursuant to [Minnesota Statutes section 10A.09, subdivision 7](#). We received your statement of economic interest by mail and the envelope was postmarked July 14, 2020, so the late fee stopped accruing as of that date.

I hope that helps to explain why a late filing fee accrued. If you feel that any of the information I provided above is incorrect or if there is any other information you would like the Board to consider in reviewing your waiver request, please let me know as soon as possible. Please feel free to contact me with any questions or concerns.

Respectfully,

Andrew Olson
Legal/Management Analyst
Minnesota Campaign Finance and Public Disclosure Board
651-539-1190

Ashley Martinez-Perez for MN House (18612)

I'm Sorry I turned in my finances report late I was having so much trouble Submitting my report since I did get two new donations from did the rice county DFL. The previous 1000 that they had donated. And the donation made from education MN PACI did go to the video to follow the steps and still couldn't figure it out o did call Roget to see if he knew how to do it and he didn't answer me. If I need to pay the 100.00 please let me know how I need to send it thank you for your time.

Ashley Martinez Perez

**CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD
December 2020**

ACTIVE FILES

Candidate/Treasurer/ Lobbyist	Committee/Agency	Report Missing/ Violation	Late Fee/ Civil Penalty	Referred to AGO	Date S&C Served by Mail	Default Hearing Date	Date Judgment Entered	Case Status
Sandra (Sandi) Blaeser		2018 Public Official Statement of Economic Interest	\$100 LFF and \$1,000 CP	9/11/20				
		2019 Public Official Statement of Economic Interest	\$100 LFF and \$1,000 CP					
Chilah Brown Michele Berger	Brown (Chilah) for Senate	Unfiled 2016 Year- End Report of Receipts and Expenditures	\$1,000 LFF \$1,000 CP	3/6/18	8/10/18			Removed from hold 9/14 at Board's request.
		Unpaid late filing fee on 10/31/16 Pre- General Election Report	\$50 LFF					
Alyssa Eichman	Swing Right PAC	Unfiled 2018 Year- End Report of Receipts and Expenditures	\$1,000 LFF \$1,000 CP	10/5/20				
		Late Filing of 2018 Pre-General Report due 10/29/18; filed on 10/30/18	\$50 LFF					
Kelly Gunderson		Candidate Statement of Economic Interest due 6/16/20	\$100 LFF \$1,000CP	9/23/20				

Candidate/Treasurer/ Lobbyist	Committee/Agency	Report Missing/ Violation	Late Fee/ Civil Penalty	Referred to AGO	Date S&C Served by Mail	Default Hearing Date	Date Judgment Entered	Case Status
Marcus Harcus	MN Campaign for Full Legalization	Original Statement of Economic Interest, due 6/16/20	\$100 LFF \$1,000 CP	10/5/20				
		Lobbyist Disbursement Report due 6/15/20	\$1,000 LFF \$1,000 CP					
		Lobbyist Disbursement Report due 1/15/20	\$1,000 LFF \$1,000 CP					
		Late Filing of Lobbyist Disbursement Report due 1/15/19; filed on 6/16/19	\$1,000 LFF					
		Late Filing of Lobbyist Disbursement Report due 6/15/18, filed on 6/27/18	\$200 LFF					
		Annual Lobbyist Principal Report, due 3/16/20	\$1,000 LFF \$1,000 CP					
Beau Hullerman		Candidate Statement of Economic Interest due 6/16/20	\$100 LFF \$1,000CP	9/23/20				
Tim Johnson		Candidate Statement of Economic Interest due 6/16/20	\$100 LFF \$1,000CP	9/11/20				

